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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	<b>09/714,683</b>
			Filing Date	<b>November 15, 2000</b>
			First Named Inventor	<b>Steve Guttman</b>
			Group Art Unit Number	<b>2177</b>
			Examiner Name	<b>Not Yet Known</b>
Total Number of Pages in This Submission		<b>6**</b>	Attorney Docket Number	<b>22233-05481</b>

<b>ENCLOSURES (check all that apply)</b>	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [ ] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [ ] Sheet(s) of Figure(s) [ ] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <div style="text-align: center;"><b>RECEIVED</b> APR 28 2004 Technology Center 2100</div>
<b>REMARKS:</b> ** total number of pages does not include cited references	

<b>SIGNATURE OF ATTORNEY OR AGENT</b>			
Signature:			
Attorney/Reg. No.:	<b>Rimma Budnitskaya, Reg. No. 48,237</b>	Dated:	<b>April 20, 2004</b>

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Steve Guttman  
APPLICATION NO.: 09/714,683  
FILING DATE: November 15, 2000  
TITLE: Client Side, Web-Based Calculator  
EXAMINER: Not Yet Known  
GROUP ART UNIT: 2177  
ATTY. DKT. NO.: 22233-05481

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**INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

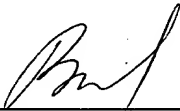
certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. APPLICATION NO., filed on DATE FILED, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
STEVE GUTTMAN ET AL.

Dated: April 20, 2004

By:   
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Complete if Known

Application No.	09/714,683
Filing Date	November 15, 2000
First Named Inventor	Steve Guttman
Art Unit	2177
Examiner Name	Not Yet Known
Attorney Docket Number	22233-05481

Sheet 1 of 2

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,631,497 B1	10-07-2003	Jamshidi et al.
	A2	US-6,632,249 B1	10-14-2003	Pollock
	A3	US-6,473,738 B1	10-29-2002	Garrett
	A4	US-6,341,292 B1	01-22-2002	Cho et al.
	A5	US-5,893,123	04-06-1999	Tuinenga
	A6	US-5,926,822	07-20-1999	Garman

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### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

### OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C1	HALLBERG ET AL., "Using Microsoft Excel 97," 1997, pp. 596-601.	
	C2	SHADOFF, "JavaScript Spreadsheet," 1997, text and source code downloaded from <a href="http://www.websorcerer.com/h12/h12.html">http://www.websorcerer.com/h12/h12.html</a> .	
	C3	FLANAGAN, "JavaScript, The Definitive Guide, 3 <sup>rd</sup> Edition," O'Reilly 1999, pp. 244-246.	
	C4	The-Ciba.com web pages [online]. The Ciba.com [retrieved 2/26/01]. Retrieved from the Internet: <URL: <a href="http://www.theciba.com/">http://www.theciba.com/</a> > 1 page, Building Windows Applications from Spreadsheets	
	C5	Tidestone.com [retrieved 2/26/01]. Retrieved from the Internet" <URS: <a href="http://www.tidestone.com/">http://www.tidestone.com/</a> > 3 pages, Press Releases and Announcements	

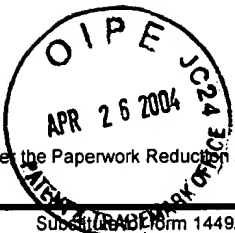
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22233/05481/SF/5118486.1



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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application No.	09/714,683
				Filing Date	November 15, 2000
				First Named Inventor	Steve Guttman
				Art Unit	2177
				Examiner Name	Not Yet Known
Sheet	2	of	2	Attorney Docket Number	22233-05481

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
	C6	The-Ciba.com web pages [online]. The ciba.com [retrieved 2/26/01]. Retrieved from the Internet: <URL: <a href="http://www.theciba.com/">http://www.theciba.com/</a> > 6 pages, What is Visual Baler	
	C7	DevX.com web pages [online]. DevX.com [retrieved 2/26/01]. Retrieved from the Internet: <URL: <a href="http://www.devx.com/">http://www.devx.com/</a> > 3 pages, Formula One for Java 5.5.	

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